

FALL 2020 USC/CFALA CFA® REVIEW STREAMING MEDIA PROGRAM

Active Duty Service Members and Disabled Veterans





USC/CFALA CFA® Review Program

Since 1984, **CFA Society Los Angeles, Inc. (CFALA)**, in partnership with the University of Southern California, Marshall School of Business (USC), has offered its Chartered Financial Analyst® (CFA®) Review Program to candidates studying for all three CFA examination levels. For Spring 2020, we offered streaming media recordings of Levels I, II and III. For Fall 2020, we will offer CFA Societies the opportunity to purchase streaming media recordings of our Level I Review Program.

About Our Streaming Media Program

The USC/CFALA CFA Review Program features state-of-the-art streaming media recordings of structured classroom-based instruction by practitioners in the financial industry and academics from local universities. Instruction is focused on the

comprehension of the assigned materials in the Study Guides with an emphasis on the Learning Outcome Statements.

The program includes secure access to streaming media recordings of all study sessions. Level I recordings offer over 60 hours of instruction with bonus classes in study/test-taking tips, Accounting Essentials, an Accounting Review and Quant Review. Level II offers over 50 hours of instruction and Level III offers over 34 hours. PDFs of each instructor's PowerPoint presentation are included.

If you have high-speed internet access, the USC/CFALA CFA Review Streaming Media Program can provide you with a complete preparation course.

Viewing Requirements

Echo360 and Panopto

You can view these recordings on most browsers using a Windows, MAC OS, Linux, iOS, and Android operating systems. On desktop systems, a Flash player will need to be installed. Microsoft Silverlight may also be required for “legacy” content.

To ensure a trouble-free viewing experience, you need a minimum Internet connection speed of 1Mbps or more, and computer systems should meet the following minimum requirements:

Hardware:

- Desktop systems should have a minimum of 4GB of available hard-drive space, a high-speed

internet connection, (1Mbps or greater), a monitor capable of a 1024 x 768 resolution or higher, a sound card, and speakers.

- IOS devices: iPad 2 and above, iPhone4 and above
- Android*: hardware that supports version 4.0 and above

* Note: Android devices CANNOT be used to view live streams)

Software:

- Windows: Windows XP SP2 and above
- Mac OS: Mac OS X 10.5.7 or later
- iOS: 6 or higher
- Android OS: 4.0 or higher

You must have Adobe Flash installed on desktop systems to view streams! Microsoft Silverlight may also be

required for “legacy” content. Most mobile devices will work – flash NOT required.

Browser

Any modern browser should work – the following have been tested and work fine. Earlier versions of these browsers may work, but cannot be guaranteed.

- Google Chrome: most recent version
- Firefox: most recent version
- Safari 6+
- Internet Explorer 11 and higher (including Edge, the Windows 10 native browser)

Cost

FREE to disabled veterans and members of the United States armed forces serving on active duty abroad or at sea.

Registration

- **IF YOU ARE AN ACTIVE DUTY SERVICE MEMBER**, please fill out the active Duty Service Member’s Application, Commanding Officer Certification of Qualification and Code of Conduct forms.
- **IF YOU ARE A DISABLED VETERAN OF THE UNITED STATES ARMED FORCES**, please fill out the Disabled Veteran’s Application and the Code of Conduct form. Include both forms with a copy of your DD214 (Separation from Military Service) and Letter of Disability Rating from the Department of Veteran Affairs.

Send forms to:

BY MAIL – USC/CFALA CFA Review Program,
520 S. Grand Ave., Ste. 655, Los Angeles, CA 90071
OR BY FAX – 213.613.1233

OR EMAIL – cfareview@cfala.org

- Registration for the USC/CFALA CFA Review Program does not include registration for the CFA exam. To register for the CFA Exam you must register directly with the CFA Institute at: <http://www.cfainstitute.org/cfaprogram/process/Pages/index.aspx>.

Please note that the CFA Program and CFA exam are covered by the GI Bill.

Registration deadline is October 25, 2020.



Program Schedule

| | | |
|-----------------------|--------------------------------------|-------------------|
| Level I (13 sessions) | Sat., Jul. 25, 2020 - November 2020* | 9:00 pm - 4:00 pm |
|-----------------------|--------------------------------------|-------------------|

* Schedule subject to change.

Recordings are from the Spring 2020 USC/CFALA CFA Review Program. Once posted, all recordings will be available until December 30, 2020 and will follow the Fall 2020 live schedule posted on the CFALA website. Please note that the Level I class is streamed live and will be posted immediately after the class ends.

Code of Conduct

Societies and Candidates participating in the USC/CFALA CFA® Review Program agree, by virtue of their participation, whether they complete the program or not, to be bound by the following:

1. Candidates participating in the USC/CFALA CFA Review Program shall conduct themselves in accordance with the Code of Ethics and the Standards of Professional Conduct of CFA Institute.

Members of CFA Institute (including Chartered Financial Analyst® [CFA®] charter holders) and candidates for the CFA designation (“Members and Candidates”) must:

- Act with integrity, competence, diligence, respect and in an ethical manner with the public, clients, prospective clients, employers, employees, colleagues in the investment profession and other participants in the global capital markets.
- Place the integrity of the investment profession and the interests of clients above their own personal interests.
- Use reasonable care and exercise independent professional judgment when conducting

investment analysis, making investment recommendations, taking investment actions and engaging in other professional activities.

- Practice and encourage others to practice in a professional and ethical manner that will reflect credit on themselves and the profession.
 - Promote the integrity of, and uphold the rules governing, capital markets.
 - Maintain and improve their professional competence and strive to maintain and improve the competence of other investment professionals.
 - Use reasonable care and exercise independent professional judgment.
2. All recordings, handouts, and other instructional materials used in the USC/CFALA CFA Review Program are protected by one or more copyrights. At no time shall any candidate reproduce or distribute any recordings, handouts or instructional materials used in the USC/CFALA CFA Review Program without the written permission of the copyright holder and a Co-Director of the USC/CFALA CFA Review Program.

REGISTRATION FORM I

Active Duty Service Member's Application to Receive Streaming Media

To members of the United States armed forces who are serving on active duty in the United States, abroad or at sea, the USC/CFALA CFA Review program is pleased to offer free access over the Internet to the streaming media of our CFA review courses.

To apply, please complete the application below, the Code of Conduct form, and have your commanding officer complete the certification form on page 6. Return all forms to the contact information listed at the bottom of the page. When we have received all documents and have determined that you are qualified to receive the free access to our media, we will send you additional information regarding the review courses, your secure log-in and password.

Registrant Information

Please Type or Print Clearly:

Name _____

Current Mailing Address _____

Service Email Address _____

Hometown _____

Permanent Mailing Address _____

Current Service Post or Ship _____

Service Branch _____ Rate of the Signatory _____

Rank _____ Brief Job Title or Description _____

Commanding Officer _____

Candidate ID# (if enrolled in the CFA Program with CFA Institute) _____

Course Level: Level I Level II Level III

By signing this application, you certify that you are a member of the United States armed forces who is serving on active duty in the United States, abroad or at sea. You also give us permission to contact your commanding officer to confirm that you are qualified.

Signature _____

Return this form via mail, fax or email:

USC/CFALA CFA Review Program, 520 S. Grand Ave., Ste. 655, Los Angeles, CA 90071

Fax 213.613.1233 • Email cfareview@cfala.org

REGISTRATION FORM II

Command Endorsement

Dear Sir or Madam:

The USC/CFALA CFA Review Program offers free access over the Internet to the streaming media of our CFA review courses to members of the United States armed forces serving on active duty in the United States, abroad or at sea.

These materials help candidates seeking to become Chartered Financial Analysts prepare for the qualifying examinations offered by the CFA Institute. The CFA Institute leads the investment profession globally by setting the highest standards of ethics, education, and professional excellence. The CFA charter is the most widely recognized and respected practitioner certificate in the areas of financial management and valuation. More information about CFA Institute and about the USC/CFALA CFA Review Program respectively appears at www.cfainstitute.org and at www.cfala.org.

_____ is applying to take advantage of our offer.

He/she has identified you as his/her commanding officer. Could you please indicate whether he/she presently is a member of the United States armed forces serving on active duty in the United States, abroad or at sea?

- The applicant named above is a member of the United States armed forces who is serving on active duty in the United States, abroad or at sea.
- The applicant named above does not qualify.

Name _____

Rank _____ Title _____

Service Email Address _____

Mailing Address _____

Phone Number (if available) _____

Signature _____

Thank you very much for your assistance.

CODE OF CONDUCT

Candidates participating in the USC/CFALA CFA® Review Streaming Media Program agree, by virtue of their participation, whether they complete the program or not, to be bound by the following:

1. Candidates participating in the USC/CFALA CFA Review Streaming Media Program shall conduct themselves in accordance with the Code of Ethics and the Standards of Professional Conduct of CFA Institute. Members of CFA Institute (including Chartered Financial Analyst® [CFA®] charter holders) and candidates for the CFA designation (“Members and Candidates”) must:

- Act with integrity, competence, diligence, respect and in an ethical manner with the public, clients, prospective clients, employers, employees, colleagues in the investment profession and other participants in the global capital markets.
- Place the integrity of the investment profession and the interests of clients above their own personal interests.
- Use reasonable care and exercise independent professional judgment when conducting investment analysis, making investment recommendations, taking investment actions and engaging in other professional activities.
- Practice and encourage others to practice in a professional and ethical manner that will reflect credit on themselves and the profession.
- Promote the integrity of, and uphold the rules governing, capital markets.
- Maintain and improve their professional competence and strive to maintain and improve the competence of other investment professionals.
- Use reasonable care and exercise independent professional judgment.

2. All recordings, handouts, and other instructional materials used in the USC/CFALA CFA Review Streaming Media Program are protected by one or more copyrights. At no time shall any candidate reproduce or distribute any recordings, handouts or instructional materials used in the USC/CFALA CFA Review Streaming Media Program without the written permission of the copyright holder and a Co-Director of the USC/CFALA CFA Review Streaming Media Program.

Print Name _____

Signature _____ Date _____

DISABLED VETERAN REGISTRATION FORM

Disabled Veteran's Application to Receive Streaming Media

To disabled veterans of the United States armed forces, the USC/CFALA CFA Review program is pleased to offer free access over the Internet to the streaming media of our CFA review courses.

Registrant Information

Please Type or Print Clearly:

Name _____

Current Mailing Address _____

Service Branch _____

Rank _____ Brief Job Title or Description _____

Candidate ID# (if enrolled in the CFA Program with CFA Institute) _____

Course Level: Level I Level II Level III

By signing this application, you certify that you are a disabled veteran of the United States armed forces.

Signature _____

**Return this form with a copy of your DD214 and
Letter of Disability Rating via mail, fax or email:**

USC/CFALA CFA Review Program, 520 S. Grand Ave., Ste. 655, Los Angeles, CA 90071
Fax 213.613.1233 • Email cfareview@cfala.org



F o l l o w s C F A I n s t i t u t e P r e p P r o v i d e r G u i d e l i n e s

Contract Terms

Upon enrolling, you will be given a secure log-in and password to the recordings. All access to streaming media files will expire on December 30, 2020.

Streaming media recordings may not be broadcast using a medium other than that originally provided by CFALA. Rebroadcasting or retransmitting the files in any other form is prohibited without the prior written consent of CFALA. This includes, but is not limited to, satellites; commercial, non-profit, educational or cable television; audio, video or computer (desktop) teleconferencing; or any other means of distribution.

Printed lecture materials are copyright protected by CFA Institute, USC/CFALA and the individual instructors.

CFALA reserves the right to suspend or terminate any registered candidate's log-in that appears to allow unauthorized access. Such action may also be reported to the local society and CFA Institute.

All rights not expressly stated at left are reserved by the copyright holders.

The USC/CFALA CFA Review Streaming Media Program does not provide 24/7 tech support. The majority of candidates can access the streaming media with minor security adjustments in their browser. Our tech support is minimal, and all queries must be directed to the CFALA Admin offices at 213.613.1503, x702, or cfareview@cfala.org.

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The USC/CFALA CFA Review Program is a joint program of the USC Marshall School of Business and the CFA Society Los Angeles, Inc.



CFA Society Los Angeles, Inc.

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