



## **CFA Society Los Angeles Elects 2021-2022 Board of Governors and Announces 2021 Society Award Recipients**

*CFA Society Los Angeles elected its 2021-2022 Board of Governors and announced its 2021 Society Award recipients at the CFA Society Los Angeles 90<sup>th</sup> Annual Meeting of the Members.*

**Los Angeles, June 25<sup>th</sup>, 2021** – CFA Society Los Angeles, a local member society of CFA Institute, the global association of investment professionals, elected its 2021-2022 Board of Governors and announced its 2021 Society Award recipients at the CFA Society Los Angeles 90<sup>th</sup> Annual Meeting of the Members on June 23<sup>rd</sup>, 2021 in a virtual meeting.

Alicia Romo, CFA, Managing Director and Head of Investments and Funding for MUFG Union Bank, was elected President for her second term. Falko Hörnicke, CFA, CFP, Senior Investment Strategist located in the Santa Barbara Wells Fargo Private Bank office, was elected Vice President after serving as Secretary. Peter Stutz, CFA, Managing Director and Portfolio Manager at Guggenheim Partners in Santa Monica, was elected Treasurer. Mariya Entina, CFA, CPA, Credit Analyst on the Global Developed Credit team at DoubleLine, was elected Secretary. After serving as Vice President in 2020-2021, 2011-2012 Past President James Lewis, CFA, Managing Director at TCW Group, will serve as Immediate Past President in 2021-2022.

Michael Treidl, CFA, Director at Coryell Capital Management, Michael Koop, CFA, Director of Investment Manager Research at AIG, and Zack Ellison, CFA, CAIA, Founder and CEO of Applied Real Intelligence LLC ("A.R.I."), are Returning Governors who were not up for election.

Steven Wang, CFA, Senior Quantitative Analyst at DoubleLine Capital, was elected to a 1-year term on the Board. Jeff Kuhlman, CFA, Senior Vice President with the Investment Manager Solutions Group at First Republic Private Wealth Management, and Priyanka Shukla, CFA, CAIA, CIPM, Senior Analyst with the Portfolio Compliance Team at The TCW Group, were elected to 3-year terms expiring in 2024.

The winner of the *Outstanding Society Member* award was Roxanne Cheng, CFA. The *Outstanding Society Member* award is periodically given to Society members who have remained active in the organization after the expiration of their tenure on the Board or whose contribution and involvement substantially benefits Society programs, activities, and initiatives. Cheng is a repeat winner – receiving the *Outstanding Society Member* award in 2001. She was a dedicated volunteer then and she has been tirelessly volunteering since. She has chaired the Career Development Committee in the past, proctored practice exams, and approved job postings on our Job Board over the years. Cheng is currently the co-chair of our Career Mentorship Program. She is also part of a two-person team that welcomes all new members to the Society. Cheng's commitment to the Society, and particularly young professionals, is nearly unrivaled and well-deserving of recognition.

There were five winners of the 2020-2021 *Outstanding Committee Member* award: Cynthia Harrington, CFA, Karyn Williams, PhD, Tom Derse, CFA, Stuart Fujiyama, CFA, and Sona Shahinian. The *Outstanding Committee Member* award is given out annually by the CFA Society Los Angeles Board of Governors to the individual or individuals who have made substantial contributions to the Society while either heading or acting as a member of a Society committee during the previous year. Harrington and Williams started the Fintech Advisory Council in February 2020 because they recognized an opportunity to bring together the Fintech community for learning, idea sharing, and networking with the membership. Derse, Fujiyama,



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and Shahinian have been diligently working behind-the-scenes to produce the bimonthly CFA Society Los Angeles e-Newsletter since April 2013.

The winner of the *James H. Fletcher Emerging Leader* award was Zack Ellison, CFA, CAIA. The award is named in honor of James H. Fletcher, CFA, a Past President of the Society in 1994-1995. This award is given annually by the Board of Governors to the individual or individuals who have demonstrated outstanding leadership characteristics in the course of work on a Society program, activity, or initiative during the previous year. Ellison, as a relatively new member, immediately jumped into Society volunteer efforts and began heading the university outreach efforts to increase engagement with all universities within the Los Angeles footprint. He hosted meetings with local deans and professors and moderated panels on the value of the CFA charter and the CFA Program. Ellison is a current member of the Board of Governors and he started the incredibly successful CIO Investment Leadership Series which brings together CIOs from different sections of the financial industry for thoughtful discussions.

The winner of the *Corporate Award* was Harbor Funds. This award is presented annually by the Board of Governors to the organization that has demonstrated outstanding support to the Society's programs, activities, and initiatives in a substantial and continual way over the past year. Harbor Funds is a relatively new partner for the Society, but the representative from Harbor Funds is not. Tyler VanZandt has been an active supporter of the Society for several years. Harbor Funds has been an Annual Sponsor for the last two years and they remained committed to the Society through the unprecedented challenges brought on by the Covid-19 pandemic.

CFA Society Los Angeles announced a brand-new award this year, the *Innovation Award*. The Board of Governors wanted to recognize the original thinking and creativity that was exhibited during the pandemic as the Society worked to continue to bring members together in a virtual world that was rapidly evolving. The winner of the *Innovation Award* was the entirety of the Social Committee: Mark Davidson, CFA, Kevin Duong, and Mike Treidl, CFA. The social events that this committee hosted were eclectic and widely popular – Mixology 101, Sushi Tasting, Pumpkin Carving, Wine and Spice Tasting, Virtual Poker, Trivia Night, a Virtual Night of Magic, and much more.

The winner of the night's final award presented, the *Governor's Award for Outstanding Lifetime Contributions*, was Mark Harbour, CFA. This award is special and not given out annually. In fact, this award is rarely recognized by the Society. The winners must have made significant contributions over a long period of time. Harbour has done that. He has been a CFA charterholder since 1999. Harbour has served on Board of Governors and was the 2013-2014 President. He has been a committee member and chair of the Society's Advocacy and Ethics Committee and Behavioral Finance Committee and continues to be active in both. Harbour is responsible for launching and coordinating the CFA Society Los Angeles Strategic Advisory Council. He has also taught in the USC/CFALA CFA® Review Program. In addition, Harbour served on CFA Institute's US Advocacy and Advisory Council.

## **About CFA Society Los Angeles**

CFA Society Los Angeles is a local member society of CFA Institute, the global association of investment professionals that sets the standard for professional excellence and credentials. The organization is a champion for ethical behavior in investment markets and a respected source of knowledge in the global financial community. The end goal is to create an environment where investors' interests come first, markets function at their best, and economies grow. For more information, visit [www.cfala.org](http://www.cfala.org).



**CFA Society  
Los Angeles**

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