CFA Society Los Angeles

May 10, 2023

In accordance with the Bylaws, notice of the[Annual Meeting of the Members of CFA Society Los Angeles, Inc.]() **to be held 5:30 PM on Wednesday, June 14th, at the Jonathan Beach Club** is hereby given. The purpose of the meeting is to elect officers and members of the Board of Governors and to transact such other business that properly comes before the meeting. The meeting is open to all members of the Society and all non-retired regular members are eligible to vote.

The Nominating Commission, consisting of **Alicia Romo, CFA (Chair), Akiko Hayata, CFA, James Lewis, CFA, CIPM, Falko Hörnicke, CFA, CFP, and Laura Carney (non-voting)**, hereby submits the following slate of candidates for officers and members of the Board of Governors for the fiscal year 2023-2024.

2023-2024 BOARD OF GOVERNORS CANDIDATES

President

Peter Stutz, CFA

University of Illinois Urbana-Champaign - BS, Computer Science; University of Chicago - MBA

Peter Stutz has over 25 years of institutional investment industry experience as a fixed income analyst, trader, and portfolio manager.

He was most recently a Managing Director and Portfolio Manager at Guggenheim Partners in Santa Monica, CA, At Guggenheim he was responsible for the management of a variety of insurance company fixed income portfolios. He has also been a part of the firm’s Portfolio Construction Group where he was responsible for creating model portfolios for the firm’s core fixed income, multi-credit, multi-asset, and insurance strategies.

Prior to joining Guggenheim, Mr. Stutz was a Portfolio Manager at Western Asset Management where he was part of the generalist fixed income portfolio management team as well as a member of the firm’s Investment Advisory Committee. Mr. Stutz specialized in global interest rate and derivative strategies and was responsible for U.S. inflation-linked bond portfolios.

Mr. Stutz received his BS in computer science from the University of Illinois Urbana-Champaign, his MBA from the University of Chicago’s Booth School of Business and received the CFA® designation in 1996. Mr. Stutz was an instructor at Level II in the USC/CFALA CFA Review Program.

Vice President

Mariya Entina, CFA, CPA
University of California, Los Angeles – BA, Economics and Accounting

Mariya Entina, CFA, CPA is a Credit Analyst at DoubleLine. Her current focus is investment grade corporate bonds. Previously, Ms. Entina was a Credit Analyst at Pacific Life Insurance Company. Prior to this she held roles at Capital Group and KPMG. Mariya Entina is a licensed CPA, CFA Charterholder, SASB FSA Credential Holder, and has earned the CFA Institute Certificate in ESG Investing. Her bachelor's degree in Economics and Accounting is from the University of California, Los Angeles. Ms. Entina currently serves as Treasurer on the Board of Governors' Executive Committee, Co-Chair for the Women's Advisory Council, and executive sponsor for the DEI Roundtable series for CFA Society Los Angeles. She is passionate about ESG investing, improving financial literacy in our local community, and advancing diversity, equity, and inclusion within the investment management industry.

Treasurer
Steven Wang, CFA
Cornell University – MEng, Operations Research

Steven Wang is a seasoned fixed income specialist with 17+ years of experience on both buy-side and sell-side of the finance industry. Currently Steven is a senior quantitative analyst at DoubleLine Capital. Prior to DoubleLine, he was a Research Analyst at Harvard Business School. Previous to that, Steven was the Director of Quantitative Fixed Income Investment Strategies for CYS Investments, a NYSE-listed Real Estate Investment Trust. Prior to CYS, he was a Trading Desk Strategist for fixed income products at Morgan Stanley. Steven started his career as a MBS structurer at JP Morgan in New York City. Steven holds a MEng in Operations Research from Cornell University and he is a CFA® charterholder.

Secretary
Dagney Maseda, CFA
California State University Northridge – BS, Finance

Dagney Maseda is a Portfolio Manager at SSI Investment Management where she co-manages a convertible arbitrage strategy. She has over 20 years of experience, as a trading assistant, analyst and portfolio manager. She is SSI's lead credit specialist and focuses on distressed credit and special situation research. In addition to her role as Portfolio Manager, Ms. Maseda is an active participant in the firm's Marketing and Client Service efforts. She was named to the Hedge Fund Journal's 50 Leading Women in Hedge Funds 2021.

Dagney is a member of the CFA Institute and the CFA Society Los Angeles. She is an active volunteer in the special needs and women's empowerment areas. She graduated from California State University, Northridge, summa cum laude, with a BS in Finance.

Immediate Past President
Falko Hörnicke, CFA, CFP
Frankfurt School of Finance and Management – Post Graduate Degree,

Economic and Financial Studies

Falko Hörnicke is a Senior Portfolio Manager located in the Santa Barbara U.S. Bank Private Wealth Management office. As part of Investment Management, Mr. Hörnicke works with clients to build highly customized portfolios that help them meet their investment objectives while managing risk.

Prior to joining U.S. Bank, Mr. Hörnicke worked at Wells Fargo Private Bank for three years as a Senior Investment Strategist, and for Bergquist Wang, LLC for six years where he was the head of Portfolio Management. He has been in the financial services industry for more than 24 years.

Mr. Hörnicke earned a Postgraduate Degree in Economic and Financial Studies from Frankfurt School of Finance and Management in Germany. He is also a holder of the right to use the Chartered Financial Analyst® designation and a CERTIFIED FINANCIAL PLANNER™ professional.

Falko, his wife Maria and their son Gabriel reside in Santa Barbara. He is the Chair of the CFALA Santa Barbara Advisory Council, a member of the CFA Institute, the Santa Barbara Estate Planning Council and the Santa Barbara Investment Forum.

Returning* Governor: 3-Year Term Expiring 2024
Jeff Kuhlman, CFA
Santa Clara University – BS, Finance; University of California, Los Angeles – MBA; Defense Language Institute – Associate of Arts in Korean

Jeff Kuhlman is a senior vice president with the investment manager solutions group at First Republic. He leads the firm’s portfolio consulting group which includes outsourced chief investment officer (OCIO) services. In this role, he focuses on driving accessibility of goals-based and values-aligned investment solutions. Additionally, he provides research, oversight, and strategic guidance for the firm’s sustainable and responsible investing (SRI) platform and digital advisory solution.

Mr. Kuhlman joined First Republic in 2010. Prior to joining First Republic, Mr. Kuhlman served in the U.S. Army as a Korean linguist.

Active in his local community, Mr. Kuhlman serves as co-chair of the Environmental, Social, and Governance (ESG) member community for CFA Society Los Angeles. He is an advocate for financial literacy and regularly volunteers as an instructor for Operation Hope and Americorps.

Returning* Governor: 3-Year Term Expiring 2024
Priyanka Shukla, CFA, CAIA, CIPM
University of Mumbai – B.S, Physics; University of Southern California's Marshall School of Business – MBA

Priyanka Shukla has worked across a wide range of investment roles including ESG & Sustainable Investments at TCW Group, working on sustainability research, engagement, strategy, and integration across TCW’s asset classes, investment compliance and risk, and as a Portfolio Manager for the County of Orange. In addition, she enjoyed teaching university students about investments, finance, and valuation.

Ms. Shukla holds an MBA from the University of Southern California's Marshall School of Business, and a B.S (Physics) from the University of Mumbai. She is a volunteer and member of CFA Society Los Angeles. Ms. Shukla serves as committee member of the CFA Institute's ESG Advisory Panel.
She is a CFA and CAIA charter holder, a CIPM certificate holder, and a certificate holder of the CFA Institute's Certificate in ESG Investing.

Returning* Governor: 3-Year Term Expiring 2025
Scott Laudeman, CFA
University of Southern California, Viterbi School of Engineering - B.S.;
Colorado School of Mines - M.S.

Scott is a portfolio manager and wealth management advisor at First American Trust. Prior to working at First American Trust, Scott founded and managed a registered investment adviser. Scott's financial services career started with a mutual fund distributor acquired by BlackRock. During his 22 year career at BlackRock, Scott managed investment relationships for financial institutions. Scott has served as a volunteer in several positions for The CFA Society Los Angeles. He is the past Chairman of the Ethics and Advocacy Group and taught the Private Wealth Risk Management curriculum in the USC/CFALA CFA Review Program.

Governor: 2-Year Term Expiring 2025
James Fenkner, CFA
California State University, Sacramento – BA, Economics; Tufts University – MA, Economics

Before returning to the US as a private investor, James Fenkner spent the majority of his 20-year career in Eastern Europe, much of it during the heyday of liberalization. He was co-founder and investment director of Red Star Asset Management LLC, once the region's largest long-short equity fund. Prior to Red Star, James worked as the chief strategist and head of research at Troika Dialog, which grew to become the largest independent stock brokerage in the Commonwealth of Independent States (CIS). Prior to joining Troika Dialog, James was head of research at Robert Flemings Bank (CIS) in Moscow, Russia. He originally moved to Eastern Europe in 1993 as a Soros Foundation lecturer in Economics at Vilnius University in Lithuania. James began his investment career in 1991 as an economic analyst at Fidelity Investments in Boston.

Mr. Fenkner holds a BA in Economics from California State University, Sacramento, and a MA in Economics from Tufts University. In 1998, he earned a CFA (Chartered Financial Analyst) designation and in 2004 became a founding member of the Russian CFA Society.

James, his wife Tatiana, and their four daughters reside in Santa Barbara. He enjoys tennis with the Montecito Tennis Mafia and is a co-founder of Fair Education Santa Barbara, a nonprofit focused on educational excellence.

Governor: 3-Year Term Expiring 2026

Mary Machado Schammel
Georgetown University - BA, International Studies and Economics;
Georgetown's Business and Foreign Service Schools and ESADE University – Global MBA

Mary Machado-Schammel has been in the Financial Services Industry for over 35 years in various roles as a CEO of an M&A Advisory Firm/Investment Banker to the Lower Middle Market, Global Investment and Corporate Banker to Fortune 100 in the Emerging Markets, and Strategic Consultant to the C-Suite of Fortune 500 firms, including Chief Investment Officers, and the Buy-Side.

Mary works with Global Strategic Consultant Coalition Greenwich, formerly Greenwich Associates, recently acquired by Indian based CRISIL. She is also Co-Founder and CEO of M&A Advisory Firm Venture Advisors Business Sales and Acquisitions. The firm, industry agnostic, specializes in working with founder/owner businesses in the lower end of the middle market to prepare and sell them to strategic buyers, including private equity firms. Her prior professional experiences include being Head Standard Chartered Bank, West, where she led the Bank's initiative to build its investment and corporate banking business with Fortune 100 corporations through global teams in emerging markets. At Merchant Bank First Interstate Bank Limited, Mary managed portfolios of sovereigns, multinationals, and banks in developed and developing countries with specialties in distressed debt and aircraft finance.

Mary is committed to her community serving as the AWIU liaison to the US State Department for the IWOC Program, board member of Georgetown University's Board of Governors and The Ann Peppers Foundation, Co-Founder and Chair of Georgetown's Wall Street Alliance West, Ambassador to Georgetown's Institute for Women, Peace, and Security, Ambassador to the NACD, Senior Advisor to UCSD's Center for Commerce and Diplomacy, and Co-Chair of CFA LA's ESG Advisory Council. She serves as Chair of Pasadena's Code Commission and is a former member of the Pasadena Redistricting Task Force. Mary has a B.A. from Georgetown in International Studies and Economics and a Global MBA from Georgetown's Business and Foreign Service Schools and ESADE University.

Governor: 3-Year Term Expiring 2026
Geoff Schneider, CFA
University of California, Los Angeles – BA, Mathematics and Economics

Geoff Schneider,CFA is a Wealth Manager for BNY Mellon Wealth Management. He works with ultra-high net worth families, providing holistic advice and tailored solutions to meet their specific wealth needs.
Geoff joined the firm in 2015 and has more than 10 years of experience in the financial services industry.

Geoff received a bachelor's degree from UCLA in Mathematics/Economics. He is a member of the CFA Institute and the CFA Society of Los Angeles. He serves on the advisory council of the Wealth Management Excellence Series. He has also volunteered for the Investment Research Challenge and Financial Advisors Contest, mentoring high school and college students in financial literacy and investment research.

All members of CFALA are encouraged to attend the**Annual Meeting of the Members** of the Corporation. Members who are not able to attend the Annual Meeting may be represented by proxy by filling out a **Proxy Voting Form**. Proxies must be in writing and filed with the Secretary of the Corporation before being voted.

Very truly yours,
Falko Hörnicke, CFA, CFP
President

Steven Wang, CFA
Secretary

**Returning Governors not up for reelection.*

ATTEND THE ANNUAL MEETING

CONNECT WITH US