



**Dr. Larry Harris, CFA,
Named Volunteer of the Month**



Improving the quality of financial decision-making is one of CFALA's primary objectives, and one advanced by the society's widely respected USC/CFALA CFA[®] Review Program. Our August volunteer of the month, Dr. Larry Harris, serves as co-director of the program.

Harris, who holds the Fred V. Keenan Chair in Finance at the USC Marshall School of Business, is an authority on regulatory and practitioner issues in trading and in investment management. He served as Chief Economist of the U.S. Securities and Exchange Commission between July 2002 and June 2004, advising the Commission on economic issues and contributing extensively to the development of regulations implementing Sarbanes-Oxley, the resolution of the mutual fund timing crisis, the specification of Regulation NMS (National Market System), the promotion of bond price transparency, and numerous legal cases.

Harris, whose co-director is Bill Krantz, has been helping to guide the review program since shortly after joining CFALA six years ago. He has served on the society's board and continues to attend its meetings. He also assists the CFA Institute in many areas, most notably as the author of a reading in the CFA Level I curriculum and of three chapters in the new Claritas[®] Investment Certificate curriculum.

"The opportunity to help investment professionals learn more and become better advocates for their clients is very rewarding," says Harris, who received his own charter two years ago.

In addition to his duties at USC and contributions to CFALA, Harris also serves on the boards of Interactive Brokers, Inc. (IBKR), the Clipper Fund, Inc. (CFIMX), and as research coordinator for the Institute for Quantitative Research in Finance (the Q-Group). He is a former associate editor of the Journal of Finance, the Review of Financial Studies, and the Journal of Financial and Quantitative Analysis. He received his Ph.D. in Economics from the University of Chicago in 1982.

