

■ Code of Conduct

Candidates participating in the USC/CFALA CFA® Review Streaming Media Program agree, by virtue of their participation, whether they complete the program or not, to be bound by the following:

1. Candidates participating in the USC/CFALA CFA Review Streaming Media Program shall conduct themselves in accordance with the Code of Ethics and the Standards of Professional Conduct of CFA Institute. Members of CFA Institute (including Chartered Financial Analyst® [CFA®] charterholders) and candidates for the CFA designation (“Members and Candidates”) must:
 - Act with integrity, competence, diligence, respect and in an ethical manner with the public, clients, prospective clients, employers, employees, colleagues in the investment profession and other participants in the global capital markets.
 - Place the integrity of the investment profession and the interests of clients above their own personal interests.
 - Use reasonable care and exercise independent professional judgment when conducting investment analysis, making investment recommendations, taking investment actions and engaging in other professional activities.
 - Practice and encourage others to practice in a professional and ethical manner that will reflect credit on themselves and the profession.
 - Promote the integrity of, and uphold the rules governing, capital markets.
 - Maintain and improve their professional competence and strive to maintain and improve the competence of other investment professionals.
 - Use reasonable care and exercise independent professional judgment.

2. All recordings, handouts, and other instructional materials used in the USC/CFALA CFA Review Streaming Media Program are protected by one or more copyrights. At no time shall any candidate reproduce or distribute any recordings, handouts or instructional materials used in the USC/CFALA CFA Review Streaming Media Program without the written permission of the copyright holder and a Co-Director of the USC/CFALA CFA Review Streaming Media Program.

Print Name

Signature

Date