



# UPCOMING PROGRAMS

CFA Society of Los Angeles, Inc. ■ 350 South Grand Avenue, Suite 1680 ■ Los Angeles, CA 90071 ■ Phone/Fax: 213.341.1164 ■ [www.cfala.org](http://www.cfala.org)

## December 2009

### Holiday Membership Event

Tuesday, December 1, 2009

6:00 pm – 9:00 pm, Dinner

**Chair:** Danny Blater, CFA

*Sponsored by the CFA Society of Los Angeles*

Please join your fellow CFA Society of Los Angeles members and prospective new members for an evening of cocktails, food and music at the world famous Music Center in the Dorothy Chandler Pavilion in downtown Los Angeles.

The event will be catered by Patina from 6-9 PM on Tuesday, December 1st and will serve as the society's winter social outing and the last event of the calendar year. The cost of the event will be 100% subsidized for our valued Society members and will be \$25 for any guests, family, friends and prospective new members that you would like to bring. I personally look forward to a great turnout and a wonderful evening of celebrating the upcoming holidays.

Please register through the below link and contact us with any questions.

Warm Regards,

Danny Blater, CFA  
Events Chair, CFA Society of Los Angeles

#### [Registration \(Click Here\)](#)

[Members: \\$0.00](#)

[Non-members: \\$25.00](#)

**Time:** 6:00 pm – 9:00 pm, Dinner

**Location:** The Music Center  
Dorothy Chandler Pavilion, 5th Floor  
135 North Grand Ave.  
Los Angeles, CA 90012  
(213) 972-7211



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## **CFA Charter Recognition Dinner**

Thursday, December 10, 2009

Reception: 5:00 pm – 6:00 pm, Dinner: 6:00 pm

### **Featured Speaker:**

**John Rogers, CFA,**

CFA Institute President and Chief Executive Officer

**Chair:** Monica Erickson, CFA

### **Congratulations to the CFA Candidates that have successfully passed the Level III of the CFA Exam!**

We are proud to honor our new Los Angeles Society CFA Charter Holders with a special Recognition Dinner at Cicada Restaurant. Please join us in honoring these New CFALA Charter Holders and pass III candidates, all CFALA members are welcome.

**Mr. Rogers** joined CFA Institute in January 2009 after more than two decades of global experience as an investment practitioner and executive in the Asia-Pacific region and the U.S. He served as president and chief investment officer of Invesco Asset Management Ltd., Japan, CEO and Co-CIO of Invesco Global Asset Management, N.A., and as CEO of Invesco's worldwide institutional division, where he led up to 2,500 individuals.

Mr. Rogers began his career in investment management at Citibank, N.A., where his responsibilities steadily grew in scope and breadth. He then worked for CIGNA as a portfolio manager and held positions of increasing responsibility before moving in 1997 to Invesco. After leaving Invesco in 2007, he founded Jade River Capital Management.

Mr. Rogers is a member of the advisory board of Goizueta Business School at Emory University, serves on the investment committee of the Woodruff Arts Center in Atlanta, and is on the executive and finance committees of the Atlanta Symphony Orchestra Board. He is a trustee of International Student Conferences, an organization that runs student conferences in Japan and Korea; a board member of the Georgia Conservancy; a board member of Schweitzer-Mauduit International; and is active in the Rotary Club. Mr. Rogers earned a BA in history from Yale University and an MA in East Asian studies from Stanford University. He earned his CFA charter in 1992.

**Date:** Thursday, December 10, 2009

**Time:** Reception, 5:00 pm – 6:00 pm

Dinner, 6:00 pm

**Location:** Cicada Restaurant

617 S. Olive Street

Los Angeles CA 90014

(213) 488-9488



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**[Registration \(Click Here\)](#)**

**\*If you wish the Vegetarian/Kosher option for your meal, please contact (213) 341-1164 x703 after you have registered.**

**Cost:** All CFALA members, Charter Recipients and Pass Level IIIs are hosted. Each Charter Recipient and Pass Level III may invite 1 guest for free. All additional guests and non-members \$50.

**CFA Charter Honorees (plus one guest)**  
**& CFALA Members: \$0.00**  
**\*Additional Guests & Non-Members: \$50.00**

## **Spring 2010 USC/CFALA CFA Review Program** **USC/CFALA CFA® Review Program** **Preparing CFA Candidates for the June 2010 CFA Exam**

Since 1984, CFA Society of Los Angeles, Inc.(CFALA), in partnership with the University of Southern California, Marshall School of Business (USC), has offered its Chartered Financial Analyst® (CFA®) Review Program to candidates studying for all three CFA examination levels. In the spring, we offer courses for Level I, II and III candidates. For candidates preparing to take the Level I examination in December, we offer a Saturday review course in the fall. While live instruction is the cornerstone of our program, we also provide candidates with supplemental study tools including a full-length practice examination, access to recorded classroom lectures, and Schweser Notes and Pro Question Bank. The weekday and Saturday review courses provide candidates with a structured classroom-based approach to preparing for the various CFA examinations. We designed our program to assist candidates with mastering the CFA Program Candidate Body of Knowledge. Our faculty covers all disciplines on the CFA examination.

**Start Dates and Times:**

Level I Saturday	January 9, 2010 (approximately 13 Saturdays prior to the exam)	9:00am - 4:00pm
Level I Weekday*	January 13, 2010 (approximately 25 Wednesdays prior to the exam)	6:30pm - 9:30pm
Level II Saturday	January 23, 2010 (approximately 9 Saturdays prior to the exam)	9:00am - 4:00pm



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Level III Saturday	January 23, 2010 (approximately 9 Saturdays prior to the exam)	9:00am - 11:00am and 11:30am - 1:30pm
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*\*subject to sufficient enrollment*

### **Early Registration Discount until 12/1/09!**

**Cost Members: \$1049.00** (after 12/1/09 \$1349.00)

**Cost Non-Members: \$1199.00** (after 12/1/09 \$1499.00)

**Location:** University of Southern California Building and Rooms TBA [USC Map](#)

**Parking:** Parking is not included in your enrollment fee. The closest parking structure is "X" at Gate 3 on Figueroa just north of Exposition Blvd. The fee is \$8 a day.

### **Spring 2010 CFA® Review Program Includes:**

- Live Classroom Instruction
- Full length practice exam
- Mentor Program (*level I only*)
- Web based recordings of the classroom instruction (accessed via the CFALA website)
- Schweser Study Notes - 6 volumes, Quick Sheet and Practice Exam Volume 1 (*available for early pickup*)
- SchweserPro Online Question Bank
- Candidate Connection
- Program Pledge

### **[Register Here for all Levels of the Review Program](#)**

**CFA Institute does not endorse, promote, or warrant the accuracy or quality of the products or services offered by the USC/CFALA CFA® Review Program. CFA Institute, CFA® and Charter Financial Analysts® are trademarks owned by CFA Institute.**

*Follows CFA Institute Prep Provider Guidelines*

## **Education Registration Policies**

### **INFORMATION**

Specific questions on course content should be directed to the course coordinator and education chair. General questions should be directed to Laura Carney at the CFALA administration office at 213-341-1164 x701 or e-mail: [info@cfala.org](mailto:info@cfala.org).



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## **CONFIRMATIONS**

The Society will only send confirmations of enrollment by e-mail. You will be notified if a course has been oversubscribed or canceled. If applicable: grades and/or certificates of completion will be made available only if requested from the course coordinator or instructor at the conclusion of the course.

## **CANCELLATIONS**

Cancellations must be made in writing and received at least 5 business days before the first day of class. Please fax or e-mail cancellations to: (213) 341-1164 or [info@cfala.org](mailto:info@cfala.org). All cancellations will incur a \$30.00 processing fee. If canceled after the 5-day deadline, a 50% cancellation fee will be charged. The Society reserves the right to cancel any course for any reason. Society cancellation will usually occur because of insufficient preliminary enrollment. The Society will call registrants to notify them of any course cancellations. All prepaid fees will be refunded. The Society also reserves the right to change the location, date and/or time of a course, in which case prior notification will be given to students.

## **PAYMENTS**

Make all checks payable and send to: CFA Society of Los Angeles, Inc., 350 S. Grand, Ste 1680, Los Angeles, CA 90071. Returned checks will incur a \$35 returned check fee. You may also pay by credit card through our online registration at: <http://www.cfala.org/cfmfiles/cal/eventlist1.cfm?t=g>

## **Event Registration Policies**

### **CFALA MEMBER REGISTRATION GUIDELINES**

All reservations must be made via website. In order to assure quality and service to our members, please make reservations in advance.

Please make your reservation online. Reservation deadline: 12 noon, two business days prior to an event. Limited space may be available after this deadline. No confirmations are made. You will only be contacted at the phone number provided on your RSVP if space is not available.

### **CANCELLATIONS**

Cancellations must be received in writing by 9:00 am the day prior to the event. No phone cancellations are accepted. Please fax to the CFALA office at (213) 341-1164 or e-mail [info@cfala.org](mailto:info@cfala.org). Member "no-shows" will be billed at \$40 unless otherwise noted. CFALA members will be billed the additional \$20 to meet the \$40 "no-show" fee requirement.

### **GUESTS**

Guest fees are \$50 for CFALA events unless otherwise noted. Payment must be received prior to the event. Returned checks will incur a \$35 charge.