



UPCOMING PROGRAMS

CFA Society of Los Angeles, Inc. ■ 350 South Grand Avenue, Suite 1680 ■ Los Angeles, CA 90071 ■ Phone/Fax: 213.341.1164 ■ www.cfala.org

September 2009

Special Event: Dinner with John Rogers, CFA, CFA Institute President and Chief Executive Officer

Wednesday, September 2, 2009
6:00 pm – 7:30pm

Please join your fellow CFALA members for a special dinner event with CFA Institute President and Chief Executive Officer, John Rogers, CFA, who will make a short presentation to our society and answer questions from CFALA members. Register early for seating is limited for this special engagement.

John Rogers, CFA joined CFA Institute in January 2009 after more than two decades of global experience as an investment practitioner and executive in the Asia-Pacific region and the U.S. He served as president and chief investment officer of Invesco Asset Management Ltd., Japan, CEO and Co-CIO of Invesco Global Asset Management, N.A., and as CEO of Invesco's worldwide institutional division, where he led up to 2,500 individuals.

Mr. Rogers began his career in investment management at Citibank, N.A., where his responsibilities steadily grew in scope and breadth. He then worked for CIGNA as a portfolio manager and held positions of increasing responsibility before moving in 1997 to Invesco. After leaving Invesco in 2007, he founded Jade River Capital Management.

Mr. Rogers is a member of the advisory board of Goizueta Business School at Emory University, serves on the finance and endowment committees of the Woodruff Arts Center in Atlanta, and is on the executive and finance committees of the Atlanta Symphony Orchestra Board. He is a trustee of International Student Conferences, an organization that runs student conferences in Japan and Korea; a board member of the Georgia Conservancy; and is active in the Rotary Club. Mr. Rogers earned a BA in history from Yale University and an MA in East Asian studies from Stanford University. He earned his CFA charter in 1992.

[Registration](#)
[Members: \\$0.00](#)

Time: 6:00 pm – 7:30, Dinner and Presentation

Location: Omni Hotel Downtown Los Angeles
251 S. Olive Street
Parking: Hotel Valet \$12



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QS World MBA Tour: Los Angeles

An MBA: Takes You from Where You Are to Where You Want to Go

Saturday, October 3, 2009

2 pm - 5 pm

An MBA will give you the knowledge and skills to advance your career. Meet the world's leading business schools this fall 2009 at the QS World MBA tour.

QS World MBA tour offers you immense benefits: the opportunity to win \$1.6m worth of exclusive scholarships, meet prestigious national and international business school admissions officers, attend GMAT seminars, and participate in sample MBA classes. This fall 2009, the QS World MBA Tour brings you Wharton, Chicago GSB, NYU, London School of Business and many others.

So, why not jumpstart your career and gain a competitive advantage?
Join us at the QS World MBA Tour 2009 this fall!

Register now at: www.topmba.com

Free Admission for CFALA members

Time: 2 pm - 5 pm

Location: Wilshire Grand Los Angeles

930 Wilshire Boulevard

Los Angeles, CA 90017

Fall 2009 Level I CFA Review Program *(Registration is still open)*

USC/CFALA CFA[®] Review Program

Preparing CFA Candidates for the December 2009 CFA[®] Exam

Begins Saturday, August 1, 2009

Fall 2009 CFA[®] Review Program

- Live Classroom Instruction
- Full Length Practice Exam
- Mentor Program
- Web Based Recordings of Classroom Instructions
- Schweser Study Notes (6 volumes)
- SchweserPro Online Question Bank

The Level I Saturday Review Course will begin August 1, 2009 and will be held on approximately 11 Saturdays before the 12/05/09 exam. Classes begin at 9:00am and will end at 4:00pm. The registration fee for the Saturday Review Course includes: the Schweser Study Notes, SchweserPro Question Bank, and the full length practice exam. In addition, you will have



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access to web recordings of the review classes and some society benefits via the Candidate Connection Program. Our programs are designed to assist candidates in mastering the Candidate Body of Knowledge. The focus of the CFA Review Course is on the comprehension of the assigned materials in the Study Guides with an emphasis on the Learning Outcome Statements ("LOS"). Once you register for either the weekday or the Saturday program, you have the option of switching between the two classes. You will have access to both class schedules.

Our instructors base their power point presentations and handouts on the CFA Institute Curriculum. As an additional study aid we provide the Schweser Notes and Pro. Typically the Schweser Notes are distributed the 1st day of class but we will have sets available for early pick up at the CFALA Admin office once our initial shipment arrives.

Cancellation & Refund Policy: Cancellations must be received in writing, there is a \$550 non-refundable deposit, and you will be charged \$100 per Saturday class or \$50 per study session that has been taught up to the day your written cancellation is received. There will be no refunds after September 28, 2009. All class times and locations subject to change.

CFA Exam: Registration for the USC/CFALA CFA Review Program does not include registration for the CFA Exam. Please visit CFA Institute to register.

Chair(s): William Krantz, CFA and Lawrence Harris, Ph.D.

Lead Level I Coordinator: James Lewis, CFA

All recordings, handouts, and other instructional materials used in the USC/CFALA CFA Review Program are protected by one or more copyrights. At no time shall any candidate reproduce or distribute any recordings, handouts or instructional materials used in the USC/CFALA CFA Review Program without the written permission of the copyright holder and a Co-Director of the USC/CFALA CFA Review Program.

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Follows CFA Institute Prep Provider Guidelines

[Register Here](#)

Date: 8/1/09

Time: 9:00am - 4:00pm

Cost Members: \$1349.00 or \$1049.00 If Registered By 7/15/2009

Cost Non-Members: \$1499.00 or \$1199.00 If Registered By 7/15/2009

Location: USC - Popovich Hall Room 112 (JKP-112) [USC Map](#) coordinate 7F

Parking: Parking is not included in your enrollment fee. The closest parking structure is "X" at Gate 3 on Figueroa just north of Exposition Blvd. The fee is \$8 a day.



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Non-Sponsored Financial Events of Interest:

“Money Confidential” with CFALA Member Holmes Osborne

Wednesday, September 2, 2009

2:00 pm – 3:00 pm

You are invited by CFALA member Holmes Osborne to attend the live taping of his show “Money Confidential.” The show shoots on Wednesday, September 2 from 2:00 p.m. to 3:00 pm at Santa Monica City Hall. Attendance is free and you do not need to R.S.V.P. The show airs on Santa Monica 16 and Los Angeles 26.

The first week’s guest is fellow CFALA member, Wayne Jett ([bio link](#)). The location is 1685 Main Street, Santa Monica, second floor. Email holmesosborne@holmesosborne.com for any additional details.

USC Marshall Center for Investment Studies Workshops

Tuesday, September 8, 2009 (first workshop)

12:00 pm

Members of the CFA Society of Los Angeles have been invited to attend any and all of a series of workshops hosted by the University of Southern California – Marshall Center for Investment Studies.

Click [here](#) for information on the first workshop of this series which is a luncheon on Tuesday, September 8th at 12:00pm in Popovich Hall (JKP 300). Parking will be in PSX at Gate 3 for \$8. Lunch is hosted by the Center.

Please RSVP by following the link on the information page if you wish to attend.

UCLA Anderson Forecast: "Managing Wealth and Preparing for Retirement When the Dust Settles"

Wednesday, September 16, 2009

7:00 am-12:00 pm

Please join the UCLA Anderson Forecast as we update our economic Forecast for 2009-2010. We'll be paying special attention to the country's low savings rate, and detailing the components of financial wealth lost over the last few years. Will that loss impact consumption, investment and savings decisions going forward and therefore impact economic growth? This forecast will also provide a historical perspective on the recovery time for losses in the past equity and real estate downturns.



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[Register and Information Link](#)

Registration Fee: \$350

CFALA Member Fee: \$250

Registration Code: CFA0909

Time: 7:00 am-12:00 pm

Location: Ackerman Grand Ballroom, UCLA

The Journal of Investment Management Fall 2009 Conference: Future of Risk Management

Sunday, Monday and Tuesday, October 4-6, 2009 (Boston, Massachusetts)

The Journal of Investment Management (JOIM) is pleased to present the JOIM Conference Series. Each conference consists of a Sunday banquet with a keynote speaker and two days of presentations and discussions with experts in the field. Conferences are held twice a year. The mandate of the Conference Series is to showcase the highest quality presentations which provide practical insights on important issues of investment management.

The Fall 2009 Conference will be held in Boston, Massachusetts, **October 4 – 6**, at the Ritz Carlton. The program emphasis will be the **Future of Risk Management** and focus on the issues raised by the recent turmoil, the prospective directions and changes that are anticipated. We will learn from practitioners, academics and regulators as they sort through the challenges going forward. Please visit: www.joimconference.com

Remember, your members have the courtesy of free ongoing electronic access to the current issue of JOIM. Please visit www.joim.com for a list of featured speakers and registration information.

Education Registration Policies

INFORMATION

Specific questions on course content should be directed to the course coordinator and education chair. General questions should be directed to Laura Carney at the CFALA administration office at 213-341-1164 x701 or e-mail: info@cfala.org.

CONFIRMATIONS

The Society will only send confirmations of enrollment by e-mail. You will be notified if a course has been oversubscribed or canceled. If applicable: grades and/or certificates of completion will be made available only if requested from the course coordinator or instructor at the conclusion of the course.



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CANCELLATIONS

Cancellations must be made in writing and received at least 5 business days before the first day of class. Please fax or e-mail cancellations to: (213) 341-1164 or info@cfala.org. All cancellations will incur a \$30.00 processing fee. If canceled after the 5-day deadline, a 50% cancellation fee will be charged. The Society reserves the right to cancel any course for any reason. Society cancellation will usually occur because of insufficient preliminary enrollment. The Society will call registrants to notify them of any course cancellations. All prepaid fees will be refunded. The Society also reserves the right to change the location, date and/or time of a course, in which case prior notification will be given to students.

PAYMENTS

Make all checks payable and send to: CFA Society of Los Angeles, Inc., 350 S. Grand, Ste 1680, Los Angeles, CA 90071. Returned checks will incur a \$35 returned check fee. You may also pay by credit card through our online registration at: <http://www.cfala.org/cfmfiles/cal/eventlist1.cfm?t=g>

Event Registration Policies

CFALA MEMBER REGISTRATION GUIDELINES

All reservations must be made via website. In order to assure quality and service to our members, please make reservations in advance.

Please make your reservation online. Reservation deadline: 12 noon, two business days prior to an event. Limited space may be available after this deadline. No confirmations are made. You will only be contacted at the phone number provided on your RSVP if space is not available.

CANCELLATIONS

Must be received in writing by 9:00 am the day prior to the event. No phone cancellations are accepted. Please fax to the CFALA office at (213) 341-1164 or e-mail info@cfala.org. Member "no-shows" will be billed at \$40 unless otherwise noted. CFALA members will be billed the additional \$20 to meet the \$40 "no-show" fee requirement.

GUESTS

Guest fees are \$50 for CFALA events unless otherwise noted. Payment must be received prior to the event. Returned checks will incur a \$35 charge.