

ABFG Board Members, 2014-15

Mark Harbour, CPA, CFA, CIMA®

Mark and his wife Bernadette have a financial advisory practice with Morgan Stanley. Mark has an MBA from the University of Chicago, and BBA from the University of Oklahoma in accounting and business law. His most recent professional role was as the western regional director of Wealth Management for Northern Trust and prior to that he was a partner with Ernst and Young. The professional organizations he actively supports are:

CFALA - he is Past President of the CFA Society of Los Angeles, and is active in the Applied Behavioral Finance Group and the Advocacy/Ethics communities of interest.

Investment Management Consultants Association (IMCA) - he is past national Chair of the Wealth Management Committee, and is currently heading a task force to update the professional Code and Standards for that organization.

Mark is an instructor for the CPWA and CIMA programs at the University of Chicago Booth School on behavioral finance and ethics. Mark is a US military veteran having served as an officer in the US Navy.

Y. Allen Kim

Y. Allen Kim is currently the Director of Manager Research and Investment Solutions of Kayne Anderson Rudnick Investment Management. He has approximately 16 years of experience in the investment management industry. Before joining Kayne Anderson Rudnick in 2014, Allen was Director of Investment Manager Due Diligence for Genworth Financial Asset Management where he lead the due diligence team. He also spent 10 years of his career at ING working in a variety of roles among which was the Investment Research Director. He graduated from The George Washington University and earned his M.B.A. in Finance from Carnegie Mellon University. Allen has the Chartered Financial Analyst designation (CFA) and is a member of the CFA Society of Los Angeles.

Ara Oghoorian, CFA, CFP

Ara is the founder of ACap Asset Management, Inc., a boutique wealth management firm with a niche in advising healthcare professionals. Prior to founding ACap in 2009, Ara worked at Aegis Wealth Management in the Washington, DC area and for the US Department of the Treasury in Eastern Europe. Ara started his career with the Federal Reserve Bank of San Francisco where he worked for nearly 10 years . He has a degree in finance from San Francisco State University, is a Commissioned Bank Examiner through the Federal Reserve Board of Governors, holds the Chartered Financial Analyst (CFA) designation, and is a Certified Financial Planner (CFP).

Colleen J. Robertson, CFA

Colleen has a growing financial practice at WFG/Transamerica. With nearly 20 years of experience in the investment business, she specializes in securing clients' retirement and their future health care costs, along with building employee retirement plans for small businesses. Colleen finds that the application of behavioral finance/decision making concepts is integral in her client-centric business. Her previous experience includes equity analysis, trading foreign and domestic equities and derivatives for Farmers Insurance and First Pacific Advisors. Colleen has a B.S. in Finance from Arizona State University and earned her CFA designation in 1998. She is a member of the Applied Behavioral Finance Group of Los Angeles, CFA Society of Los Angeles, and the Security Traders Association. Colleen is on the Board of the Committee of Professional Women, an Affiliate of the Los Angeles Philharmonic, whose mission is to bring classical music to the entire community.

Adrienne Regrutto

Adrienne is the Region Director of Investment Practice and Strategy at Northern Trust. She believes in the productive nature of people and that unique ideas lead to big achievements. The last few years have been particularly dynamic in that more stringent statistical methods are directly improving the investment experience. When asked to lead the Region using a goals based approach, Adrienne started by connecting academic research to practical aspects of finance. When asked, she noted that it's been fascinating work that includes two of her favorite things, learning and teaching. Her work over the last few years has

centered on luck versus skill, triple net returns and the behavioral biases that undermine investment decision making. Prior to her current role, Adrienne was a Sr. Portfolio Manager with Northern Trust. She received her BA in Politics and International Relations from the University of San Diego and her Master's in Business and Economics from Chapman in Orange, CA. She has a partner, Colleen Davis and an infant daughter, Quinn.

T. Jon Williams, Ph.D., CFA

Jon has spent his entire career in the investment management business developing a specialty in advising institutions, families and individuals on all aspects of investment decision making, the selection of suitable investments, education and tailoring of investment strategies. He founded South Avenue Investment Partners on April 1, 2009. Prior to founding South Avenue Jon was a Managing Director at Epoch Investment Partners. He joined Epoch at its inception and founded Epoch's west coast client service and business development efforts. Prior to joining Epoch he was a Managing Director with myCFO Investment Advisory Services and Partner with Asset Strategy Consulting in Los Angeles. He received his Ph.D. in Economics from the University of California, Los Angeles and has taught economics at several universities. He is a Chartered Financial Analyst, a member of the CFA Institute, the American Economic Association and a member of the CFA Society of Los Angeles.

Stan Yang, CFA

Stan Yang has over 20 years of investment industry experience. Stan is currently Director of Trading at Savos Investments, a division of AssetMark, Inc. (formerly Genworth Financial Wealth Management) based in Encino, California. Stan previously worked for Centurion Capital Management (Sherman Oaks, CA) as Director of Research. Stan graduated from the University of Colorado with a BA degree in Mathematics and also obtained an MBA in Finance degree from California State University at Northridge. He holds the Chartered Financial Analyst (CFA) and Chartered Alternative Investment Analyst (CAIA) designations and is a long-time member of both the CFA Institute and the CFA Society of Los Angeles